NOMINATION OF ANNA ESCOBEDO CABRAL

HEARING

BEFORE THE

COMMITTEE ON FINANCE UNITED STATES SENATE

ONE HUNDRED EIGHTH CONGRESS

SECOND SESSION

ON THE

NOMINATION OF

ANNA ESCOBEDO CABRAL TO BE U.S. TREASURER, U.S. DEPARTMENT OF THE TREASURY

OCTOBER 8, 2004



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CONTENTS

OPENING STATEMENTS

Hatch, Hon. Orrin G., a U.S. Senator from Utah	Page 1
ADMINISTRATION NOMINEE	
Cabral, Anna Escobedo, nominee to be U.S. Treasurer, U.S. Department of the Treasury	2
ALPHABETICAL LISTING AND APPENDIX MATERIAL	
Cabral, Anna Escobedo: Testimony Prepared Statement Biographical Hatch, Hon. Orrin G.: Opening statement	2 7 8

NOMINATION OF ANNA ESCOBEDO CABRAL TO BE U.S. TREASURER, U.S. DEPARTMENT OF THE TREASURY

FRIDAY, OCTOBER 8, 2004

U.S. SENATE, COMMITTEE ON FINANCE, Washington, DC.

The hearing was convened, pursuant to notice, at 10:06 a.m., in room S-215, Dirksen Senate Office Building, Hon. Orrin G. Hatch, presiding.

OPENING STATEMENT OF HON. ORRIN G. HATCH, A U.S. SENATOR FROM UTAH

Senator HATCH. Good morning. I would like to welcome Anna E. Cabral to the Finance Committee today. Ms. Cabral has been nominated by the President to serve as Treasurer of the United States of America.

It is a particular privilege and pleasure for me to preside over this hearing today, because I have known and worked closely with Ms. Cabral for many, many years. She has had a remarkable career, and I am very proud of her accomplishments.

Anna represents a true American success story from which all of us can take inspiration. She grew up in California, where members of her family had labored as agricultural workers for many years. Upon graduating from high school with honors, Ms. Cabral became the first in her family to attend college.

After receiving a degree at the University of California, she received a master's degree in Public Administration from Harvard University's John F. Kennedy School of Government.

Not long after graduating from Harvard, Anna joined my office in 1991 as Staff Director for the Senate Republican Task Force on Hispanic Affairs, which I chair. She also served on the Judiciary Committee, where she rose to the position of Deputy Staff Director and became a critical member of my committee staff; in fact, of all of us on the Judiciary Committee.

In 1999, Ms. Cabral left the Judiciary Committee when she took on the responsibilities of president and CEO of the Hispanic Association on Corporate Responsibility, where she served for four solid years. She is presently the director of the Smithsonian Center for Latino initiatives.

These positions reflect an increasing capacity and solid track record of Ms. Cabral in leading and managing complex organizations, and they have prepared her well for the responsibilities of the office of U.S. Treasurer.

After watching her and working with her for many years, there is no doubt in my mind that Anna Cabral has the education, experience, and integrity to be an outstanding Treasurer of the United States of America.

The President has made a wise choice in nominating Anna Cabral to this position, and I recommend her strongly to our committee

Anna, I know how proud your family is of you, both those who are here and those who have gone before, and I am very honored to preside at this hearing on your nomination.

Now, I understand that I will probably be the only one here today. I think that is a reflection on the regard that the people on this committee have for you, because they do not feel that you need to be asked an awful lot of questions.

Let me ask just a couple of questions. But, first, do you have a statement that you would care to make?

Ms. Cabral. Yes, sir, if I may.

Senator HATCH. All right. Thank you.

STATEMENT OF ANNA ESCOBEDO CABRAL, NOMINATED TO BE U.S. TREASURER, U.S. DEPARTMENT OF THE TREASURY, WASHINGTON, DC

Ms. Cabral. Thank you, Senator Hatch. And to the members of this committee, I am very grateful to have been honored by President Bush to serve as Treasurer of the United States, and certainly grateful to you for the opportunity to appear before this committee in consideration of that nomination.

If confirmed, I look forward to working closely with the committee, as well as all members of the Senate and the House of Representatives on issues related to the Office of the Treasurer.

Before proceeding further, if you would allow me a personal moment of privilege, I would like to introduce the members of my immediately family who are here with me today.

Senator HATCH. I would like that.

Ms. CABRAL. Particularly my wonderful husband, Victor Cabral, and my daughter, Catalina. There are others, of course, who were not able to attend: my daughter, Viana Cabral, my son, Victor Christopher, and my eldest daughter, Raquel and her husband David Sours. But I know that they, like many members of my extended family, are here in spirit.

I am, as you say, a third generation Mexican-American and first generation college student. My family came from Mexico in the early 1900s in search of the American dream, and like many others, they labored to build the railroads, factories, farms, and cities of this great Nation.

For generations on my father's side, they toiled in California's agricultural fields as farm workers. I am the manifestation of their dreams and aspirations, of their hard work to earn a better life for their children.

I remain eternally grateful to them for the values they instilled in me, for our faith in God and country, for a sense of personal responsibility, a commitment to family and community, and certainly this nomination, I believe, honors each of them.

None of us, I assure you, stands alone, and I am well aware of that. We rest on the shoulders who have gone before. We are expected to repay their sacrifices by working to ensure that our children and our children's children inherit a safer, better world.

This great Nation serves as a beacon for freedom and opportunity in the world. Among its hallmarks are a free enterprise system, solid financial markets, and sound banking and monetary systems.

As Treasurer, I would seek to continue and further the great work of the President and the Department of the Treasury in ensuring that our coin and currency systems remain safe, that our anti-counterfeiting measures are strengthened, that our savings bond programs provide greater opportunities for investment, and that financial literacy grows at all levels and at all generations.

I am eager to contribute to the work of the Department and the Office of the Treasurer, and I consider it a privilege to serve the public. I will work to the best of my abilities to maintain a strong working relationship with this committee, uphold the duties of the office, and assure accountability within the Department. Thank you for your time. I am happy to answer your questions.

[The prepared statement of Ms. Cabral appears in the appendix.] Senator HATCH. Well, thank you. I have no doubt that you will be a tremendous Treasurer, that you will work well with this committee. I think anybody who knows you knows that.

I see Victor almost has some tears in his eyes. I think that is a good thing. We welcome you and your daughter, and other friends and members of the family.

Let me just ask you the three questions that we ask of each nominee who appears before the Finance Committee.

First, is there anything that you are aware of in your background that might present a conflict of interest with the duties of the office for which you have been nominated?

Ms. Cabral. No.

Senator HATCH. Now, second, do you know of any reason, personal or otherwise, that would in any way prevent you from fully and honorably discharging the responsibilities of the office for which you have been nominated?

Ms. Cabral. No.

Senator HATCH. All right. Third, do you agree, without reservation, to respond to any reasonable summons to appear and testify before any duly constituted committee of Congress, if you are confirmed?

Ms. Cabral. Yes.

Senator HATCH. I know you will.

Let me just ask one or two other questions. I know you so well that I do not need to ask many questions, but I think, for the record's sake.

Ms. Cabral, what do you see as the most urgent issues facing the Treasurer of the United States?

Ms. Cabral. I would say there are a number of issues. But two important issues that I think I can help raise public awareness

about are financial literacy, and also the coinage and monetary system that exists in the country and our anti-counterfeiting efforts.

I think, with regard to the Treasurer, that position can be a voice for the Department in terms of impressing upon people that there are about 10 million folks across the country who are not, in fact, using our financial institutions, our systems, that do not have checking or savings accounts, and it costs them money.

Many of those individuals are either part of the youth of this Nation who have not yet learned the value of those systems, or, in fact, perhaps immigrants coming from countries where those sys-

tems are not necessarily safe in their home countries.

But the problems with living a life where you're not using those institutions and managing your money in that way is that you subject yourself to additional costs. There are enormous fees in not participating.

For example, there are fees in the cost of purchasing money orders, of cashing your check in a line at the end of the week. There are dangers you subject yourself to because you are carrying cash.

There are remittances that these folks make, and those are often associated with larger fees. I think all of us have an interest in achieving the American dream.

One of the elements, I think, that is important to the American dream is buying a home, and understanding then how you participate fully in the economic system so you can achieve that dream.

That means becoming part of the banked in the country, using savings accounts and banking accounts that provide you with an opportunity to participate fully and reduce the cost of managing in the system that exists today.

I think, also, the Department of the Treasury, and particularly the Office of the Treasurer, works very closely with both the Mint and the Bureau of Printing and Engraving to ensure that we are protecting our system, our monetary systems, our coinage and currency system, so that we can avoid counterfeiting or reduce counterfeiting opportunities.

We've done a wonderful job of rolling out a number of new redesigned bills, the \$20 and the \$50, I think, most recently. We still

have the \$10 and \$100 to enroll in the next year or two.

It is important that consumers, whether it is a customer at the corner or us who are sort of cashing our checks and receiving money from ATMs, or the world which actually transacts a number of these dollars, these instruments, understands how to detect which of those are counterfeit and which are not.

Senator HATCH. Thank you. I think you have answered a number of my questions with that one answer, so that is pretty good.

But what aspects of your background do you think will serve you

well as Treasurer of the United States?

Ms. Cabral. As you mentioned, Senator Hatch, I did attend Harvard University and the Kennedy School of Government. Most of my work was on international trade and finance, and I think it speaks directly to the position of the Treasurer.

I worked in the Senate for 9 years, in the Senate Committee on the Judiciary, under your leadership, and as well in the Senate Republican Conference Task Force on Hispanic Affairs. We worked on a variety of issues. I think the jurisdiction for both was very broad

and important. But, in particular, the task force looked at issues of economic development and what it really took to make changes in the lives of daily people.

Certainly, in my work at the Hispanic Association on Corporate Responsibility where I served as president and CEO, we focused on issues of corporate responsibility directly, corporate governance.

In fact, in that organization I was able to establish a leadership initiative with Harvard Business School to put forth governance best practice courses for various individuals who are serving on boards of directors for the Fortune 1,000 or the Fortune 500.

Also, we focused on issues of financial literacy, because that is particularly important in the Hispanic community, where you find larger numbers of unbanked or individuals who have not yet fully participated in the system.

Finally, at the Smithsonian, I am basically charged with leading a pan-institutional effort to help the Smithsonian better reflect the contributions of Hispanic Americans throughout what the Smithsonian does in its 18 museums, its 9 research centers. That requires a great deal of collaboration and understanding about how those units come together.

I think, as the Department moves forward in the various elements, in particular the Commission on Financial Literacy Education, where it is trying to coordinate the efforts of all of the agencies of the Federal Government, I think it is important to have somebody who understands how collaboration is achieved.

Senator HATCH. Well, thank you. You have covered goals, you have covered issues that are important to you that will help you raise public awareness about various problems. You have covered a lot of things that I think people on the committee will be pleased with.

If other members of the committee want to ask questions in writing, they can do so.

But what I am hoping, is that we can still, in these waning days of this Congress, report you out. Keep in mind, if we cannot get it done in the next 2 days or 3 days, we do have a lame duck session, and hopefully we can make sure that you are Treasurer of the United States by then.

But my goal is to push the committee as fast as I can to try and get you into this job and get this job filled, and we will do our very best to do that. I think everybody on this committee is proud of

I cannot speak for everybody, but I can say that I think if they look at this record, look at your record, they are going to be very proud of you and very pleased that the President has nominated somebody of your quality to this position.

So, with that, this has been a short, but sweet, hearing. I notice we have a lot of friends coming in here to back you, and we appreciate seeing all of you. You missed the bombastic part of this hearing. [Laughter.] But we are honored to have all of you here.

I personally am honored that the President has nominated Anna to this position. I think Anna and Victor Cabral are two of the best people I have known at any time in my life, and I feel very deeply towards them.

I know that you will do as good a job as anybody has ever done in the history of this country in this position, or any other position,

that you are called upon to serve.

I suspect that you will have a long lifetime of service, you and your husband, and these beautiful children of yours. They are not children anymore, but to me they are. They are all going to be successful because of the two of you. You have just been terrific people. Your family is terrific. You are a terrific worker. You have got a great intellect.

You are a person who can bring both sides together, who will

You are a person who can bring both sides together, who will please both Democrats and Republicans, and who literally will represent this country in a very sophisticated, decent, and honorable way. I just do not know anybody that I could recommend any high-

er than you.

So, with that, we are going to recess. Hopefully we can get this done. Thanks so much.

Ms. Cabral. Thank you, Senator. Senator Hatch. Good to be with you.

[Whereupon, at 10:20 a.m., the hearing was concluded.]

APPENDIX

Additional Material Submitted for the Record

PREPARED STATEMENT OF ANNA ESCOBEDO CABRAL

Thank you, Chairman Grassley, Ranking Member Baucus, Senator Hatch, and Members of the Committee. I am honored to have been nominated by President George W. Bush to serve as U.S. Treasurer, and grateful to you for the opportunity

to appear before you today in consideration of that nomination.

If confirmed, I look forward to working closely with this Committee, as well as all Members of the Senate and House of Representatives, on issues related to the

office of the Treasurer.

Before proceeding further, please allow me a moment of personal privilege to introduce those members of my immediate family who join me today: my wonderful husband, Victor, and two lovely daughters, Viana and Catalina. Our son, Victor Christopher, our eldest daughter, Raquel and her husband, David Sours, are unable to attend, but I know they are here in spirit, as are the many members of my extended family.

I am a third generation Mexican-American and first generation college graduate. My family came from Mexico in the early 1900s in search of the American Dream. Like so many others, they labored to build the railroads, factories, farms, and cities of this great nation. Four generations on my father's side toiled in California's agricultural fields. I am the manifestation of their dreams and aspirations—that their hard work would earn a better life for their children. I remain eternally grateful to them for the values they instilled in me-for our faith in God and country, a sense of personal responsibility, and a commitment to family and community. This nomination honors each of them-my parents, Francisco Romo Escobedo and Teresa de Jesus Beltran Escobedo, my paternal grandparents and great grandparents, Carmen Romo Escobedo, Alfred Escobedo, Enriqueta Mayorga de Romo and Francisco Romo, and my maternal grandparents, Manuela Peralta de Beltran and Jose

None of us stands alone. We rest on the shoulders of those who have gone before. We are expected to repay their sacrifices by working to ensure that our children and

our children's children inherit a safer, better world.

This great nation serves as a beacon for freedom and opportunity in the world. Among its hallmarks are a free enterprise system, solid financial markets, and sound banking and monetary systems. As Treasurer I would seek to continue and contribute to the great work of the President and the Department by ensuring that our coinage and currency systems remain safe, that anti-counterfeiting measures are strengthened, that our Savings Bond Programs provide greater investment opportunities, and that financial literacy grows at all levels, for all generations.

I am eager to contribute to the work of the Department and the office of the Treasurer. I consider it a privilege and honor to serve the public. I will work to the best of my abilities to maintain a strong working relationship with the Committee, uphold the duties of the office, and assure accountability within the Department.

Thank you for your time. I would be pleased to answer any questions.

SENATE FINANCE COMMITTEE STATEMENT OF INFORMATION REQUESTED OF NOMINEE

A. BIOGRAPHICAL INFORMATION

1. Name: (Include any former names used.)

Current Legal Name: Anna Escobedo Cabral. Maiden Name: Anna Teresa Escobedo.

2. Position to which nominated:

United States Treasurer.

3. Date of nomination:

July 22, 2004

4. Address: (List current residence, office, and mailing addresses.)

Home Address: 7648 Burford Drive

McLean, Virginia 22102

(703) 893 7893

Work Address: 900 Jefferson Drive, SW, Room 1465

Washington, DC 20560

(202) 357 1600

5. Date and place of birth:

I was born in San Bernardino, California on October 12, 1959.

6. Marital status: (Include maiden name of wife or husband's name.)

I am married to Victor Gregory Cabral.

7. Names and ages of children:

I have four children: Raquel Cabral Sours (24), Viana Cabral (22), Catalina Cabral (20), and Victor Christopher Cabral (19).

8. Education: (List secondary and higher education institutions, dates attended, degree received, and date degree granted.)

George Mason School of Law, evening division from August 2003 to present. Currently on leave of absence. JD expected in 2008.

Harvard John F. Kennedy School of Government, August 1988 to June 1990. Received a Masters degree in Public Administration.

University of California, Davis, Full Time, September 1978 through June 1979. Part-time, spring 1983 through March 1987. Received a BA in Political Science in March 1987.

Sacramento City College. I took two courses, one in the summer of 1980, the other in the spring of 1981.

University of California, Santa Cruz. September 1976 through June 1978. Transferred.

Banning High School. Attended from September 1974 through June 1976. Received diploma with honors in June 1976.

Employment record: (List all jobs held since college, including the title or description of job, name of employer, location of work, and dates of employment.)

Dates	Position, Employer, Location
07/2003 - Present	Director, Smithsonian Center for Latino Initiatives, Washington,
	D.C.
08/1999 - 07/2003	President & CEO, Hispanic Association on Corporate
	Responsibility, Washington, D.C.
12/1993 – 08/1999	Deputy Staff Director and Chief Clerk, U.S. Senate Committee on
	the Judiciary, Senator Orrin G. Hatch, Washington, D.C.
05/1991 – 08 /1999	Executive Staff Director, U.S. Senate Republican Conference
	Task Force on Hispanic Affairs, Orrin G. Hatch, co chair,
	Washington, D.C.
12/1990 – 12/1990	Legal Secretary, Nicholson & Liss PC, Lexington., MA
08/1989 – 10/1989	Legal Secretary, Craig & McCauley, Boston, MA
04/1983 – 08/1988	Executive Legal Assistant, Law Firm of Victor G. Cabral,
	Sacramento, California
09/1981 – 03/1982	Legal Secretary, Law Office of Obledo, Alcala, & Cabral,
	Sacramento, California
09/1980 – 09/1981	Instructor, Nontraditional Teen Parent Program, Sacramento,
	California
06/1979 – 08/1980	Student Assistant, Affirmative Action Office, Department of
	Social Services, State of California

10. Government experience: (List any advisory, consultative, honorary, or other part-time service or positions with Federal, State or local governments, other than those listed above.)

I was appointed to the President's Council on the 21st Century Workforce in 2001. This was an unpaid position. The Council held its last meeting in the Fall of 2003.

11. Business relationships: (List all positions held as an officer, director, trustee, partner, proprietor, agent, representative, or consultant of any corporation, company, firm, partnership, other business enterprise, or educational or other institution.)

Date of Service	Position Held, Description of Entity
08/1999- 07/2003	I served on the board of directors and as the president & chief executive officer of the Hispanic Association on Corporate Responsibility, a 501 c (3). This was a salaried position.
2001-Present	I serve on the board of directors for Martha's Table, a Nonprofit soup-kitchen. This is an uncompensated position.
2002 – Present	I serve on the board of directors of the Sewall Belmont House, a nonprofit organization dedicated to preserving historical documents and artifacts documenting the women's suffrage movement, and preserving the associated historic building. This is an uncompensated position.
2003 – Present	I was elected to the board of directors for the American Red Cross. This is an uncompensated position.
2002 - Present	I serve as a paid advisor to the Premier Automotive Group.
2003 - Present	I serve as a paid advisor to Merrill Lynch.
2002 - Present	I serve as a paid advisor to the Pew Hispanic Trust.

12. Memberships: (List all memberships and offices held in professional, fraternal, scholarly, civic, business, charitable, and other organizations.)

Dates of Membership	Organization, Positions held
1991 – Present	My family has been a member of St. Luke's parish in McLean, Virginia.
2003 - Present	My family maintains a membership at the Hidden Creek Country Club, located in Reston, Virginia.
2000 - Present	I am a member of MANA, A National Latina Organization, a 501 c (3).
1976-1978	While in college, September 1976 through June 1978, I was a member of the student organization, Movimiento Estudiantil Chicano de Atzlan (MEChA). From September 1977 through March 1978, I served as co chair of the organization.

13. Political affiliations and activities:

a. List all public offices for which you have been a candidate.

None.

b. List all memberships and offices held in and services rendered to all political parties or election committees during the last 10 years.

I am a Republican. I have not held any office or rendered services to any political party or election committee during the last 10 years.

c. Itemize all political contributions to any individual, campaign organization, political party, political action committee, or similar entity of \$50 or more for the past 10 years.

\$2,000 to George W. Bush for President in 2003 \$300 to Xavier Becerra for Congress in 2001.

14. Honors and Awards: (List all scholarships, fellowships, honorary degrees, honorary society memberships, military medals, and any other special recognitions for outstanding service or achievement.)

I received a scholarship from the California Association of Compensatory Education in 1976.

I received the "Hispanic Woman of the Year" award from the Mexican American Opportunity Foundation in May 1999.

I received a "Las Primeras" Award from MANA, a National Latina Organization in 2002.

15. Published writings: (List the titles, publishers, and dates of all books, articles, reports, or other published materials you have written.)

During my tenure as president & chief executive officer of the Hispanic Association on Corporate Responsibility, the organization published and released several reports which I either edited and/or coauthored to varying degrees:

- 1. 2000 HACR Governance Report
- 2. 2001 HACR Governance Report
- 3. 2002/2003 HACR Governance Report
- 4. Hispanics Today 2000
- 5. Hispanics Today 2001
- 6. Hispanics Today 2002
- 7. Hispanics Today 2003
- 8. HACR Best Practices in Employment Report (2001)
- 9. HACR Best Practices in Employment Report (2002)
- 10. Hispanic Business Procurement Best Practices (2002)
- 11. President's Message for quarterly newsletter between September 1999 and June 2003.
- 16. Speeches: (List all formal speeches you have delivered during the past five years which are on topics relevant to the position for which you have been nominated. Provide the Committee with two copies of each formal speech.)

I have not made any formal speeches on topics relevant to the position.

17. Qualifications: (State what, in your opinion, qualifies you to serve in the position to which you have been nominated.)

I earned a Masters degree in Public Administration from the Harvard John F. Kennedy School of Government in June 1990. I was engaged in an in-depth study of substantive policy areas, analytical methods, and the science of public management. The largest part of my course work was devoted to the study of international trade, finance, and development. Course work included economic theory, methods and analytical tools for decision making including cost-benefit analysis, difference equations, linear programming, financial policy in developing countries, basic finance, financial management, marketing, budgeting and control, macroeconomic policy in developing countries, and food policy analysis.

I worked at the United States Senate from May 1991 through August 1993. I was originally hired to serve as the executive staff director for the U.S. Senate Republican Conference Task Force on Hispanic Affairs, a position I held simultaneous to my tenure as deputy staff director and chief clerk for the Senate Judiciary Committee, from 1993 through 1999. I coordinated the work of the Senators, senate staff members, and a bipartisan, 100-member advisory committee to the task force (made up of community leaders, representatives from corporate America, and government officials) around a shared legislative agenda that addressed issues of economic development, education, employment and training, immigration, and heath care.

As deputy staff director and chief clerk of the U.S. Senate Committee on the Judiciary, I oversaw the daily operation of the committee consisting of a \$4.8 million budget, and 100+ employees. I was involved in the work of the committee at various levels, from supervising to handling issues directly. The committee's jurisdiction includes criminal law, juvenile justice, antitrust, patent and trademark, immigration, the courts, bankruptcy reform, constitutional law matters, and the handling of judicial and other nominees. My duties also included writing, researching, and providing written memoranda and advice to the Chairman on specific legislative issues and the Committee's long-term agenda.

From August 1999 through July 2003, I served as president and chief executive officer of the Hispanic Association on Corporate Responsibility (HACR), a national, nonprofit organization consisting of a coalition of the ten largest and most influential national Hispanic organizations in the United States. HACR's mission is to partner with Fortune 500 companies to achieve greater inclusion of Hispanics in corporate America in four core areas – employment, procurement, philanthropy, and governance. As a result of the focus of the ten national organizations, our efforts addressed economic development issues and financial literacy. Additionally, during my tenure, we developed a partnership with Harvard Business School to offer a course in Governance Best Practices.

B. FUTURE EMPLOYMENT RELATIONSHIPS

Will you sever all connections with your present employers, business firms, associations, or organizations if you are confirmed by the Senate? If not, provide details.

Yes.

Do you have any plans, commitments, or agreements to pursue outside employment, with or without compensation, during your service with the government? If so, provide details.

No.

Has any person or entity made a commitment or agreement to employ your services in any capacity after you leave government service? If so, provide details.

No.

If you are confirmed by the Senate, do you expect to serve out your full term or until the next Presidential election, whichever is applicable? If not, explain.

Yes.

C. POTENTIAL CONFLICTS OF INTEREST

Indicate any investments, obligations, liabilities, or other relationships which could involve potential conflicts of interest in the position to which you have been nominated.

Any potential conflicts of interest have been identified and resolved in accordance with the terms and conditions of my ethics agreement with the Department of Treasury, which is documented by letter to Kenneth Schmalzbach, Designated Agency Ethics Official and Assistant General Counsel for General Law and Ethics.

2. Describe any business relationship, dealing or financial transaction which you have had during the last 10 years, whether for yourself, on behalf of a client, or acting as an agent, that could in any way constitute or result in a possible conflict of interest in the position to which you have been nominated.

None.

3. Describe any activity during the past 10 years in which you have engaged for the purpose of directly or indirectly influencing the passage, defeat, or modification of any legislation or affecting the administration and execution of law or public policy. Activities performed as an employee of the Federal government need not be listed.

None.

4. Explain how you will resolve any potential conflict of interest, including any that may be disclosed by your responses to the above items. (Provide the Committee with two copies of any trust or other agreements.)

Any potential conflicts of interest have been identified and resolved in accordance with the terms and conditions of my ethics agreement with the Department of the Treasury, which is documented by letter to Kenneth Schmalzbach, Designated Agency Ethics Official and Assistant General Counsel for General Law and Ethics. Should any potential conflicts of interest arise in the future, I will consult with Treasury ethics officials.

- 5. Two copies of written opinions should be provided directly to the Committee by the designated agency ethics officer of the agency to which you have been nominated and by the Office of Government Ethics concerning potential conflicts of interest or any legal impediments to your serving in this position.
- 6. The following information is to be provided only by nominees to the positions of United States Trade Representative and Deputy United States Trade Representative:

Have you ever represented, advised, or otherwise aided a foreign government or a foreign political organization with respect to any international trade matter? If so, provide the name of the foreign entity, a description of the work performed (including any work you supervised), the time frame of the work (e.g., March to December 1995), and the number of hours spent on the representation.

Not Applicable.

D. LEGAL AND OTHER MATTERS

1.	Have you ever been the subject of a complaint or been investigated,
	disciplined, or otherwise cited for a breach of ethics for unprofessional
	conduct before any court, administrative agency, professional association,
	disciplinary committee, or other professional group? If so, provide details.

No.

2. Have you ever been investigated, arrested, charged, or held by any Federal, State, or other law enforcement authority for a violation of any Federal, State, county or municipal law, regulation, or ordinance, other than a minor traffic offense? If so, provide details.

No.

3. Have you ever been involved as a party in interest in any administrative agency proceeding or civil litigation? If so, provide details.

No.

4. Have you ever been convicted (including pleas of guilty or nolo contendere) of any criminal violation other than a minor traffic offense? If so, provide details.

No.

 Please advise the Committee of any additional information, favorable or unfavorable, which you feel should be considered in connection with your nomination.

There is no additional information I feel should be considered.

E. TESTIFYING BEFORE CONGRESS

1. If you are confirmed by the Senate, are you willing to appear and testify before any duly constituted committee of the Congress on such occasions as you may be reasonably requested to do so?

Yes.

2. If you are confirmed by the Senate, are you willing to provide such information as is requested by such committees?

Yes.

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